

# Higher-order topological type-II hyperbolic lattices

Liren Chen<sup>1,\*,†</sup>, Jinglin Liu<sup>1,\*</sup>, Jingming Chen<sup>1,\*,†</sup>, Bolun Huang<sup>2,‡</sup>, and Zhen Gao<sup>1,§</sup>

*State Key Laboratory of Optical Fiber and Cable Manufacture Technology, Department of Electronic and Electrical Engineering, Guangdong Key Laboratory of Integrated Optoelectronics Intellisense, Southern University of Science and Technology, Shenzhen 518055, China*



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Recently, higher-order topological phases have been extended from Euclidean lattices to non-Euclidean hyperbolic lattices. Though higher-order topological type-I hyperbolic lattices have been extensively studied, their counterpart, higher-order topological type-II hyperbolic lattices, have never yet been reported. Here, by mapping the celebrated Bernevig-Hughes-Zhang model onto a type-II hyperbolic lattice, we present a theoretical exploration of the first-order topological edge states and second-order topological corner states in a type-II hyperbolic lattice. Compared with the higher-order topological type-I hyperbolic lattices, we discover two unique topological phenomena that stem from the nontrivial geometrical topology of the type-II hyperbolic lattice. First, topological edge and corner states exist on both inner and outer boundaries of the type-II hyperbolic lattice and exhibit higher degeneracy than those in the type-I hyperbolic lattice with only an outer boundary. Second, the degeneracy of type-II hyperbolic corner states can be arbitrarily tuned by changing the characteristic (or inner) radius, in contrast to its type-I counterpart, which is determined by the number of sides of the tessellated polygons. Our work explores topological states in more complex hyperbolic lattices, significantly expanding the research scope of hyperbolic topological physics.

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## I. INTRODUCTION

Topological insulators have become a central paradigm in condensed matter physics [1,2], characterized by their gapped bulk and gapless boundary states, which are protected by a topological invariant [1]. In a  $d$ -dimensional topological insulator, the bulk-boundary correspondence [3–5] guarantees the existence of topologically protected states on its  $(d-1)$ -dimensional boundaries, such as edges or surfaces—a hallmark feature of first-order topological phases. Recently, this framework has been extended to higher-order topological phases [6–8], which host topologically protected states on boundaries at least two dimensions lower than the bulk. Specifically, an  $n$ th-order topological phase in a  $d$ -dimensional system exhibits topologically protected states localized on  $(d-n)$ -dimensional boundaries. For example, a two-dimensional (2D) second-order topological insulator supports zero-dimensional (0D) corner states, while a three-dimensional (3D) second-order (third-order) topological insulator supports 2D [one-dimensional (1D)] surface (hinge) states.

A defining feature of higher-order topological phases is that their topological protection typically relies on spatial symmetries, primarily rotational symmetry [7,9]. In 2D Euclidean systems, only rotational symmetries compatible with periodic tiling—namely,  $C_2$ ,  $C_3$ ,  $C_4$ , and  $C_6$ —are possible.

Consequently, the degeneracy of second-order topological corner states is restricted to values such as two, three, four, or six, depending on the underlying symmetry [6,9–11]. This restriction raises a natural question: could the richer rotational symmetries available in non-Euclidean geometries give rise to new classes of higher-order topological phases that are impossible in flat-space crystalline systems? This question has motivated growing interest in hyperbolic lattices [12–27]—regular tilings of negatively curved spaces characterized by Schläfli symbols  $\{p, q\}$ , where  $p$  and  $q$  represent  $q$  copies of  $p$ -sided polygons meeting at each vertex. Since hyperbolic lattices admit arbitrary  $C_p$  rotational symmetries for  $p > 2$ , they naturally provide an ideal platform to explore higher-order topological phases with corner-state degeneracies beyond the limits imposed by Euclidean symmetry classes. Notably, previous works on higher-order type-I hyperbolic lattices have demonstrated topological corner states with degeneracies of 4, 8, 12, or higher, directly determined by the symmetry order  $p$  [21,23]. However, the corner-state degeneracy of type-I hyperbolic lattices is strictly fixed by the  $p$ -sided polygons of the tiling without tunability. In contrast, the recently discovered type-II hyperbolic lattices [28,29] introduce a new degree of freedom, the characteristic radius  $r_h$ , to tune the degeneracy of the hyperbolic topological corner states.

In this work, we map the celebrated Bernevig-Hughes-Zhang (BHZ) model onto a type-II hyperbolic lattice [30] and demonstrate that both its inner and outer boundaries can host first-order topological edge states. By introducing a Wilson mass term to this topological type-II hyperbolic lattice, we obtain a higher-order topological hyperbolic system that supports second-order topological corner states. More interestingly, we find that the degeneracy of these corner states can

\*These authors contributed equally to this work.

†Contact author: [chenjingming24@163.com](mailto:chenjingming24@163.com)

‡Contact author: [12432572@mail.sustech.edu.cn](mailto:12432572@mail.sustech.edu.cn)

§Contact author: [gaoz@sustech.edu.cn](mailto:gaoz@sustech.edu.cn)

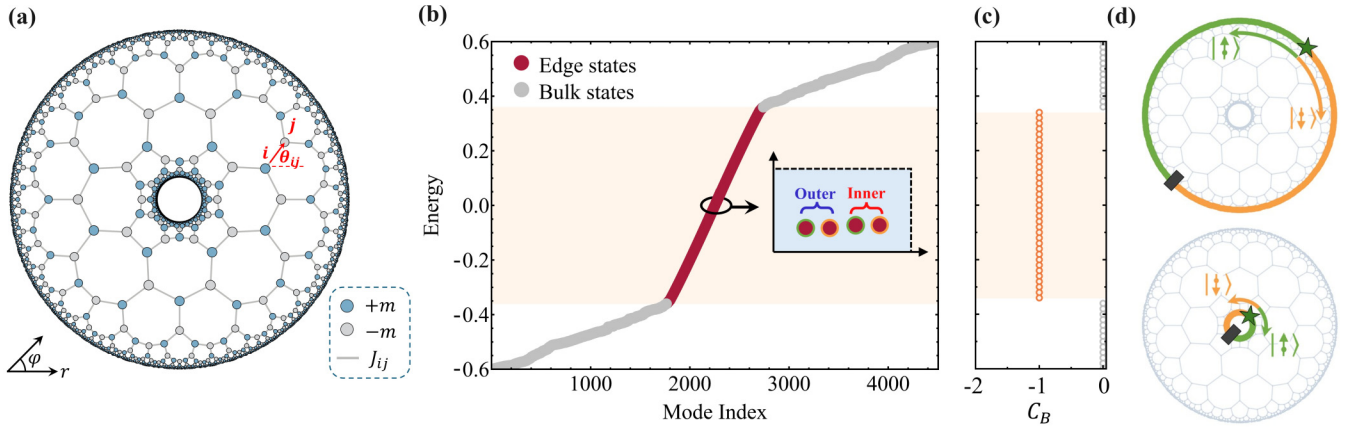


FIG. 1. Type-II hyperbolic QSHIs. (a) Schematic illustration of the BHZ model on a type-II hyperbolic  $\{0.365, 8, 3\}$  lattice. (b) Energy spectrum of Hamiltonian  $H_{\text{QSHI}}$  in the type-II hyperbolic lattice. Inset displays the zoom-in view of the in-gap inner and outer edge states near zero energy. (c) Spin Bott index  $C_B$  as a function of energy. (d) Intensity distributions of the outer (upper panel) and inner (lower panel) helical edge states excited by edge sources (green stars), corresponding to the four edge states marked in (a). An absorber (black block) is adopted to identify the helicity of the edge states.

be controlled by varying the characteristic radius  $r_h$ , allowing for arbitrary degeneracies that are not limited by the polygonal order  $p$ . As concrete examples, we demonstrate the emergence of 16-fold and 24-fold degenerate corner states in two distinct higher-order type-II hyperbolic lattices—both tessellated by octagons but characterized by different characteristic radii  $r_h$ . Our results establish type-II hyperbolic lattices as a versatile platform for realizing tunable, arbitrarily degenerate topological corner states in non-Euclidean geometries.

## II. RESULTS

### A. Quantum spin Hall insulators in type-II hyperbolic lattices

To construct a topological type-II hyperbolic lattice, we map the BHZ model, also well known as the quantum spin Hall insulators (QSHIs), onto a type-II hyperbolic lattice, which can be described by the tight-binding model Hamiltonian:

$$H_{\text{QSHI}} = -\frac{1}{2} \sum_{\langle i,j \rangle} c_i^\dagger [it_1(\sigma_3 s_1 \cos \theta_{ij} + \sigma_0 s_2 \sin \theta_{ij}) + t_2 \sigma_0 s_3] c_j + \sum_i (M + 2t_2) c_i^\dagger \sigma_0 s_3 c_i, \quad (1)$$

where  $c_i^\dagger$  and  $c_i$  are the creation and annihilation operators of electrons at the site  $i$  that have four degrees of freedom, of which the spin and orbital degrees are represented by two sets of Pauli matrices  $\sigma_v$  and  $s_v$  with  $v = 0, 1, 2, 3$ , respectively.  $M$  denotes the Dirac mass,  $t_1$  and  $t_2$  are the hopping strengths, and  $\theta_{ij}$  represents the polar angle of the vector from site  $i$  to site  $j$ , as illustrated in Fig. 1(a). Here, we set  $t_1 = t_2 = 1$ ,  $M = -1$ , and diagonalize the Hamiltonian  $H_{\text{QSHI}}$  under open boundary condition. Due to the time-reversal symmetry (TRS) with  $T = i\sigma_2 s_0 K$  of the Hamiltonian  $H_{\text{QSHI}}$ , as shown in Fig. 1(b), the energy spectrum of the Hamiltonian  $H_{\text{QSHI}}$  of topological type-II hyperbolic lattice exhibits double degenerate inner and outer helical edge states (red dots) in the bulk energy gap (orange region), in contrast to the topological type-I hyperbolic lattice, which only supports topological

edge states on its outer boundary [16–19,25,26]. Note that the energy values of the inner and outer helical edge states are slightly different [see inset in Fig. 1(b)], arising from the difference in  $\theta_{ij}$  between sublattices inside and outside  $r_h$  in the Hamiltonian  $H_{\text{QSHI}}$ .

To characterize the topological nature of the type-II hyperbolic edge states, we compute the spin Bott index  $C_B$  (see details in Appendix A) as a function of energy [31,32]. As illustrated in Fig. 1(c), the index has a value of  $C_B = -1$  precisely in the energy gap where the edge states reside, whereas it vanishes ( $C_B = 0$ ) within the bulk bands. This behavior confirms the nontrivial band topology of the topological hyperbolic edge states. Figure 1(d) displays the intensity distributions of the topological hyperbolic edge states on the outer (upper panel) and inner (lower panel) boundaries. Here, we adopt a point source (green star) to excite the topological hyperbolic edge states, and an absorbing boundary condition (black rectangle) is applied at each respective edge. The spin-up and spin-down components of the helical edge states are represented by green and orange color scales, respectively, highlighting their spatial separation and chiral propagation along the curved edges.

### B. Higher-order topological insulators in type-II hyperbolic lattices

Besides the first-order topological edge states, topological type-II hyperbolic lattices can also support second-order topological corner states with unique properties compared with their type-I counterparts [19,21,23]. To construct the type-II hyperbolic higher-order topological insulators (HOTIs), we introduce a Wilson mass term into the aforementioned type-II hyperbolic QSHIs, and the system's Hamiltonian becomes

$$H_{\text{HOTI}} = H_{\text{QSHI}} + H_m, \quad H_m = \frac{g}{2} \sum_{\langle i,j \rangle} c_i^\dagger \cos\left(\frac{k}{2}\theta_{ij}\right) \sigma_1 s_1 c_j, \quad (2)$$

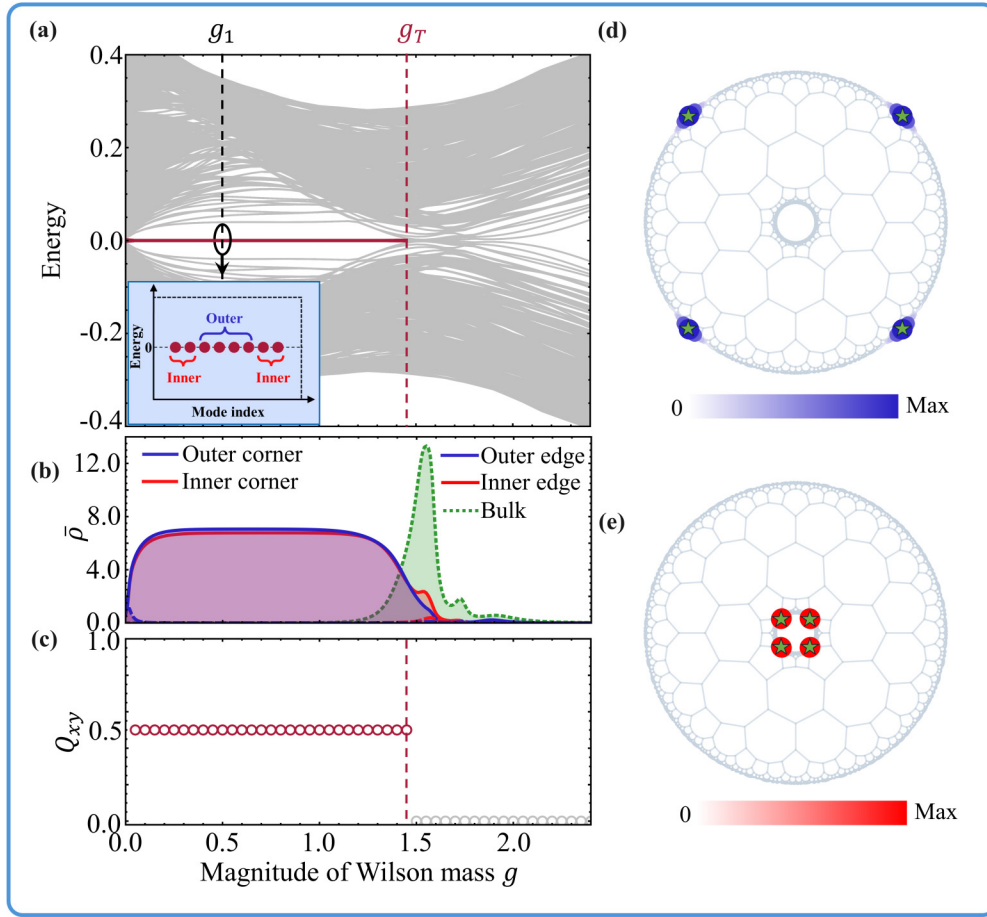


FIG. 2. Type-II hyperbolic HOTIs. (a) Energy spectrum of Hamiltonian  $H_{\text{HOTI}}$  in the type-II hyperbolic  $\{0.365, 8, 3\}$  lattice with different magnitudes of Wilson mass  $g$ . The horizontal red line represents the ZECSSs. The black and red vertical dashed lines indicate two characteristic values of  $g$ . Inset displays the zoom-in view of the eightfold degenerate ZECSSs. (b) Zero-energy averaged LDOS  $\bar{\rho}$  at the positions near an outer corner (blue line), an inner corner (red line), a part of outer edge between two neighboring outer corners (light blue line), a part of inner edge between two neighboring inner corners (light red line), and the bulk sites on the characteristic radius (green line) as a function of  $g$ . (c) Quadrupole moment  $Q_{xy}$  as a function of  $g$ . (d) and (e) Intensity distributions of outer (upper panel) and inner (lower panel) ZECSSs excited by the sources (green stars) with  $g = g_1 = 0.5$ .

where  $g$  represents the magnitude of the Wilson mass. The additional mass term  $H_m$  breaks the TRS in the Hamiltonian  $H_{\text{QSHI}}$  and gaps the 1D helical edge states in the first-order QSHIs. Since this additional mass term periodically changes its sign along the outer and inner boundaries,  $k$  (rotation symmetry of the type-II hyperbolic lattice) mass domain walls are formed at the locations where the mass flips its sign, inducing a type-II hyperbolic HOTI with 0D topological corner states at the inner and outer boundaries.

To demonstrate the existence of type-II hyperbolic zero-energy corner states (ZECSSs), we calculate the eigenenergy and eigenstates of the Hamiltonian  $H_{\text{HOTI}}$  in real space with open boundary conditions. The energy spectrum of the Hamiltonian  $H_{\text{HOTI}}$  ( $k = 4$ ) with different Wilson mass magnitudes  $g$  [Fig. 2(a)] shows the existence of eightfold degenerate ZECSSs [see inset in Fig. 2(a)] in the bulk band gap when  $0 < g < 1.45$  (horizontal red solid line), including four (four) ZECSSs on the outer (inner) boundary. These ZECSSs are protected by particle-hole symmetry  $P$  and the composite symmetry  $Sm_z$ , where  $S = PT$  denotes the chiral symmetry operator and  $m_z = \sigma_3 s_0$  represents the mirror symmetry

operator. Further details of the symmetry analysis are provided in the Supplemental Material [33]. To demonstrate the localization of the ZECSSs, we compute the zero-energy LDOS defined as  $\rho(0, \mathbf{r}) = \sum_{i,j} \delta(0 - E_i) |\Psi_{i,j}(\mathbf{r})|^2$ , where  $\Psi_{i,j}(\mathbf{r})$  is the  $j$ th component of the  $i$ th eigenstate at site  $\mathbf{r}$ . Then, we take an average of the zero-energy LDOS over the site collection  $\Pi_S$  as  $\bar{\rho} = (1/N) \sum_{\mathbf{r} \in \Pi_S} \rho(0, \mathbf{r})$  near the corner (outer or inner boundary site with polar angle  $\theta = \pi/4$ ), edge (collection of outer or inner boundary sites between two nearest-neighboring corners), and bulk (collection of bulk sites on the characteristic radius) with different  $g$ , as shown in Fig. 2(b). When  $g = 0$ , the type-II hyperbolic lattice is in the first-order topological phase with gapless helical edge states. As  $g$  increases from zero, the energy gaps at the outer and inner boundaries are opened, resulting in the outer and inner corner states, reflected by the increase (decrease) of the corner (edge) averaged LDOS and almost vanished bulk averaged LDOS. When  $g$  approaches  $g_T = 1.45$ , the bulk band gap gradually closes and the corner (bulk) averaged LDOS decrease (increase). To further identify the topological phase transition in this process, we calculate the real-space

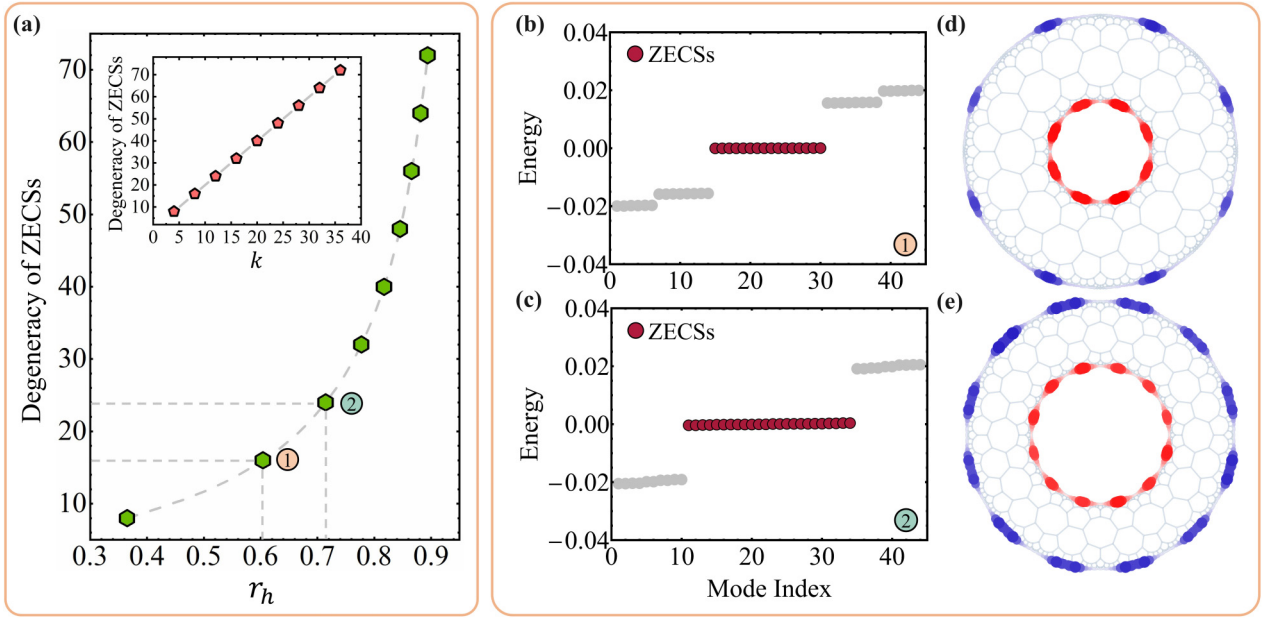


FIG. 3. Arbitrarily tunable degeneracy of ZECSSs by changing the characteristic radius  $r_h$ . (a) The degeneracy of ZECSSs as a function of the characteristic radius  $r_h$ . Inset displays the degeneracy of ZECSSs with different rotation symmetries  $k$ . (b) and (c) Energy spectra for  $H_{\text{HOTI}}$  on two type-II hyperbolic lattices with (b)  $r_h = 0.604$ ,  $k = 8$ , and  $g = 0.5$  and (c)  $r_h = 0.715$ ,  $k = 12$ , and  $g = 1.1$ , respectively. (d) and (e) Intensity distributions of (d) 16-fold and (e) 24-fold degenerate type-II hyperbolic corner states, corresponding to the ZECSSs (red dots) in (b) and (c), respectively.

quadrupole moment  $Q_{xy}$  as a function of  $g$  (see details in Appendix B), as shown in Fig. 2(c). We find that  $Q_{xy}$  changes from 0.5 to 0 at  $g = g_T = 1.45$ , indicating a topological phase transition from a higher-order topological phase to a trivial phase, perfectly aligning with the interval in which the ZECSSs exist in Fig. 2(a).

To directly visualize the localized corner states, we again place four localized excitation point sources (green stars) at the outer and inner boundaries. Figure 2(d) shows the density distribution of the corner states at the two boundaries when  $g = g_1 = 0.5$ , where the dominant states are inner and outer corner states indicated in Fig. 2(b). As presented in the graph, we can see that both the outer and inner corner states are well localized at the four corners near the excitation sources, and there is no leakage to the edge and bulk.

### C. Arbitrarily degenerate corner states via tuning the characteristic radius of the type-II hyperbolic lattice

More interestingly, the rotational symmetry of type-II hyperbolic lattice, which is parametrized by  $k$ , can be arbitrarily tuned by changing its characteristic radius  $r_h$  (or inner radius  $r_{in}$ ). This relation is governed by the equation  $r_h = e^{-2\pi/kP}$ . Unlike the conventional type-I hyperbolic lattices, where the degeneracy of ZECSSs is constrained by the  $p$ -sided polygons used for tessellation, the characteristic radius offers a new degree of freedom to tune corner mode degeneracies that are not restricted by the polygon's geometry.

To investigate the effect of  $r_h$  on the degeneracy of ZECSSs in type-II hyperbolic lattice, we firstly calculate the degeneracy of ZECSSs as a function of the characteristic radius

$r_h$ , as shown in Fig. 3(a). The results demonstrate that the degeneracy of corner states in type-II hyperbolic lattices can be arbitrarily increased by increasing  $r_h$ , unlike the type-I hyperbolic lattices, where the degeneracy of corner states is solely determined by the number of sides of the tessellated polygons [19,21,23]. Furthermore, the inset in Fig. 3(a) shows that the degeneracy of corner states increases in direct proportion to the rotational symmetry parameter  $k$  and is exactly  $2k$ . It reflects the direct relation between the degeneracy of the ZECSSs and the rotation symmetry of the type-II hyperbolic lattice, which can be tuned by changing its characteristic radius, confirming the tunable nature of the type-II hyperbolic corner states.

To illustrate this tunable degeneracy, we take two octagon tessellated type-II hyperbolic lattices as examples, corresponding to points 1 and 2 in Fig. 3(a). At  $r_h = 0.604$  (point 1), the energy spectrum in Fig. 3(b) reveals 16 ZECSSs, consistent with the calculated degeneracy. The intensity distribution of these ZECSSs, as shown in Fig. 3(d), clearly displays eight degenerate corner modes at both the inner and outer boundaries of the lattice. This confirms the expected 16-fold degeneracy. We then increase the characteristic radius to 0.715 (point 2), as shown in Fig. 3(a). The energy spectrum and intensity distribution in Figs. 3(c) and 3(e) show an increased degeneracy to 24, with 12 degenerate corner modes appearing at both inner and outer boundaries. All these degenerate corner modes arise from the rotation symmetry of the type-II hyperbolic lattices. In contrast to previously studied type-I hyperbolic lattices, now the rotation symmetry can be controlled by changing the characteristic radius  $r_h$ , offering a different way to tune the degeneracy of higher-order topological corner states.

### III. CONCLUSION

In conclusion, we have theoretically explored the nontrivial first-order topological edge states and higher-order topological corner states in a type-II hyperbolic lattice. By mapping the BHZ model to the type-II hyperbolic lattice, we demonstrate that both the inner and outer boundaries of type-II hyperbolic lattices can support the first-order helical edge states and second-order topological corner states, exhibiting a higher degree of degeneracy than their type-I counterparts, which only exist on the outer boundary. Furthermore, we demonstrate that the degeneracy (number) of the type-II hyperbolic corner states can be arbitrarily tuned by changing the characteristic (or inner) radius, in contrast to their type-I counterparts, whose number is determined by the number of sides of the tessellated polygons.

Our study complements a recently reported work on type-II hyperbolic higher-order topological phases [34] through the direct topological characterization of the helical edge states, emphasizing the geometric tunability of the corner-state degeneracy and the symmetry-resolved disorder analysis.

Given current experimental platforms, the topological type-II hyperbolic lattices can be realized in circuit quantum electrodynamics [35], electric circuits [19,20,24,36], nonreciprocal networks [25], and integrated nanophotonic chips [26]. Since the type-II hyperbolic lattices possess both inner and outer boundaries supporting counterpropagating topological edge states, we envision that it can provide an ideal platform to extend many important topological phenomena such as Thouless pumping [37,38], Landau-Zener transition [39], and Laughlin's pump [40–42] from Euclidean to non-Euclidean geometries. Our work thus establishes type-II hyperbolic lattices as a versatile and experimentally accessible platform for exploring topological physics beyond flat space.

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The authors declare no competing interests.

### DATA AVAILABILITY

The data that support the findings of this article are available from the authors upon reasonable request.

### APPENDIX A: CALCULATION OF SPIN BOTT INDEX

In periodic QSHI, the topological nature of the edge states is captured by a  $\mathbb{Z}_2$  invariant. However, in a type-II hyperbolic lattice where periodicity is absent, we instead need to employ the spin Bott index as a marker for topological transition. Below, we follow the construction of Ref. [32] and briefly summarize the calculation steps.

We first introduce two individual spin vectors  $C_{B+}$  and  $C_{B-}$  given by

$$C_{B\pm} = \frac{1}{2\pi} \text{Im}\{tr[\log(V_{\pm} U_{\pm} V_{\pm}^{\dagger} U_{\pm}^{\dagger})]\}, \quad (\text{A1})$$

where “+” and “−” label the spin-up and spin-down parts. Respectively, the spin Bott index is then

$$C_B = \frac{1}{2}(C_{B+} - C_{B-}). \quad (\text{A2})$$

The projected position operators  $U_{\pm}$  and  $V_{\pm}$  are built from the projector  $P_{\pm}$  onto the occupied spin-up or spin-down subspace:  $U_{\pm} = P_{\pm} e^{i2\pi X} P_{\pm} + (I - P_{\pm})$  and  $V_{\pm} = P_{\pm} e^{i2\pi Y} P_{\pm} + (I - P_{\pm})$ . Here,  $X$  and  $Y$  are diagonal matrices whose entries  $x_i, y_i$  are the rescaled real-space coordinates of the  $i$ th lattice site. Concretely, an original coordinate  $x_i, y_i \in (-1, 1)$  is mapped to the unit interval  $x_i, y_i \in (0, 1)$ .  $P_{\pm} = \sum_n^{N_{\text{occ}}/2} |\pm\psi_n\rangle \langle \pm\psi_n|$  is the projector operator that projects onto the occupied spin-up or -down eigenstates  $|\pm\psi_n\rangle$ . It has already been shown in Ref. [32] that for any QSHI with or without periodicity, the spin Bott index  $C_B$  is equivalent to the standard  $\mathbb{Z}_2$  invariant.

### APPENDIX B: CALCULATION OF REAL-SPACE QUADRUPOLE MOMENT

In conventional flat lattices, higher-order topological states are usually characterized by quadrupole moments in momentum space. Since a well-defined higher-dimensional Bloch Hamiltonian for the higher-order type-II model has not yet been established, we characterize the topological properties of the type-II hyperbolic ZECs using real-space quadrupole moments [23,43,44]. The real-space quadrupole moment  $Q_{xy}$  is defined as

$$Q_{xy} = \left[ \frac{1}{2\pi} \text{Im} \log \det(\Psi_{\text{occ}}^{\dagger} U \Psi_{\text{occ}}) - Q_0 \right] \text{mod} 1, \quad (\text{B1})$$

with  $Q_0 = \frac{1}{2} \sum_j x_j y_j / A$ , where  $\Psi_{\text{occ}}$  is the occupied eigenstates of  $H_{\text{HOTI}}$ ,  $U$  is a diagonal matrix with elements  $e^{2\pi i x_j y_j / A}$ ,  $(x_j, y_j)$  is the new coordinate of the  $j$ th site after translating the coordinate interval  $x_j, y_j \in (-1, 1)$  to  $x_j, y_j \in (0, 1)$ , and  $A$  is the Euclidean area of the Poincaré ring.

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